

DATE: November 15, 2004

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THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of: : Group Art Unit: 2134
Dutta : Intellectual Property Law Department
Serial No.: 09/817,867 : International Business
Filed: March 26, 2001 : Machines Corporation
Title: System and Method for Placement of : Intellectual Property Law Dept.
User-Negotiated Security Features : 11400 Burnet Road
on Ticket Items : Austin, Texas 78758

SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT

The Commissioner of Patents
Washington, D.C. 20231

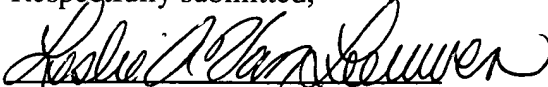
Sir:

Applicants submit herewith patents, publications or other information of which they are aware, which they believe may be material to the examination of this application and in respect of which there may be a duty to disclose in accordance with 37 CFR § 1.56.

While this Information Disclosure Statement may be "material" pursuant to 37 CFR § 1.56, it is not intended to constitute an admission that any patent, publication or other information referred to herein is "prior art" for this invention unless specifically designated as such. In accordance with 37 CFR § 1.97(g) the filing of this Supplemental Information Disclosure Statement shall not be construed to mean that a search has been made or that no other material information as defined in 37 CFR § 1.56 (a) exists.

The attached form, PTO-1449, provides a listing of patents, publications, or other information as required by 37 CFR § 1.98 (a)(1). A copy of each listed item that is not a U.S. Patent document, is supplied herewith.

Respectfully submitted,

By 

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In Place of FORM PTO-1449 (Modified)

Serial No. 09/817,867

Applicant: Dutta

Filing Date: 3/26/2001

Group: 2134

Atty. Docket No. AUS920010143US1

**LIST OF PATENTS AND PUBLICATIONS FOR
APPLICANT'S INFORMATION DISCLOSURE
STATEMENT**

U. S. PATENT DOCUMENTS

<u>Examiner Initial</u>		<u>Document Number</u>	<u>Date</u>	<u>Name</u>	<u>Classification Class / Subclass</u>	<u>Filing Date if Appropriate</u>
_____	AA	6,609,659	08-2003	Sehr, Richard P.	235	384
_____	AB					
_____	AC					
_____	AD					
_____	AE					
_____	AF					
_____	AG					
_____	AH					
_____	AI					
_____	AJ					
_____	AK					
_____	AL					

FOREIGN PATENT DOCUMENTS

<u>Examiner Initial</u>		<u>Document Number</u>	<u>Date</u>	<u>Country</u>	<u>Classification</u>	<u>Translation Yes No</u>
_____	AM					
_____	AN					
_____	AO					
_____	AP					

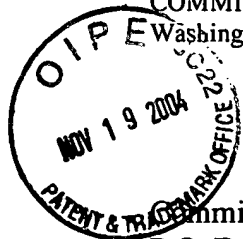
OTHER ART (Including Author, Title, Date, Pertinent Pages, Etc.)

<u>Examiner Initial</u>		
_____	AQ	Chan, Siu-cheung Charles, "Electronic Smart Passport/Visa," August 17, 1997, pp. 1-11.
_____	AR	
_____	AS	
_____	AT	
_____	AU	

Examiner:

Date Considered:

EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.



COMMISSIONER FOR PATENTS
Washington, D.C. 20231

SERIAL NO. 09/817,867

IBM DOCKET NO. AUS920010143US1

DATE: November 15, 2004

Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

Certificate of Mailing or Transmission

I hereby certify that this correspondence is being deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 or facsimile transmitted to the U.S. Patent and Trademark Office on the date shown below.

Leslie A. Van Leeuwen

Leslie A. Van Leeuwen

11/15/04
Date

Sir:

Transmitted herewith for filing is the Patent Application of:

Inventors: Dutta

For: System and Method for Placement of User-Negotiated Security Features on Ticket Items

Enclosed are:

- X Supplemental Information Disclosure Statement;
- X Modified form 1449 listing 2 references;
- X copies of any non-U.S. Patent references listed on form 1449.

37 CFR § 1.97 is satisfied by the following:

- X This Supplemental IDS is being filed pursuant to CFR § 1.197(c) and:
 - X is accompanied by the fee set forth in § 1.17(p), please charge my Deposit Account No. 09-0447 the fees set forth in § 1.17(p) [\$180.00]. **(a duplicate copy of this sheet is enclosed); and**
 - X no item of information contained in the information disclosure statement was cited in a communication from a foreign patent office in a counterpart foreign application, and, to the knowledge of the person signing the certification after making reasonable inquiry, no item of information contained in the information disclosure statement was known to any individual designated in § 1.56(c) more than three months prior to the filing of the information disclosure statement.
- X The Commissioner is hereby authorized to charge payment of the following fees associated with this communication or credit any overpayment to Deposit Account No. 09-0447. **A duplicate copy of this sheet is enclosed.**
- X Any additional patent application processing fees under 37 CFR Sect. 1.17.

Respectfully submitted,

By

Leslie A. Van Leeuwen

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